



Supplier Diversity Classification Form

Company Name _____
 Company Address _____
 City _____ State _____ Zip _____
 Tax ID Federal Number _____ Dun & Bradstreet DUNS No. _____
 North American Industry Classification System (NAICS) _____
 Number of Employees _____

Business Classification (See Definitions on Page 2)

Check All That Apply

- | | |
|--|---|
| <input type="checkbox"/> Small Disadvantaged | <input type="checkbox"/> Small Business |
| <input type="checkbox"/> Veteran Owned | <input type="checkbox"/> Service Disabled Veteran Owned
<small>(SBA-Certified and verified in SAM)</small> |
| <input type="checkbox"/> Woman Owned (See NOTE Below) | <input type="checkbox"/> Minority Owned (See NOTE Below) |
| <input type="checkbox"/> Indian Tribe <small>(SBA-Certified and verified in SAM)</small> | <input type="checkbox"/> Alaskan Native Corporation |
| <input type="checkbox"/> Historically Black College/University of Minority Institution | <input type="checkbox"/> HubZone <small>(SBA-Certified and verified in SAM)</small> |
| <input type="checkbox"/> Large Business | |

NOTE: PLEASE INCLUDE A COPY OF YOUR CERTIFICATION IF YOUR BUSINESS IS CERTIFIED BY A THIRD PARTY ORGANIZATION (I.E., FEDERAL, NATIONAL, STATE, MUNICIPAL GOVERNMENT OR LOCAL PURCHASING COUNCIL).

Obtain SBA information on the Internet: <http://www.sba.gov> or call (202)205-6600, (202)606-4000, or (202)219-9148. The supplier represents that its qualifying ownership falls within at least one of the above categories. (See definitions on the reverse.)

By signing below, the contractor hereby certifies and represents that the information provided is current, accurate, and complete (see Penalties for False Misrepresentation on the reverse). The contractor further certifies that it will notify LG&E and KU Energy LLC (“LKE”) of any changes to said information provided. **(THIS FORM MUST BE SIGNED)**

Contact Name	Phone Number
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Email Address	Fax Number
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Authorized Representative	Authorized Representative Signature
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Title	Date
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Please Upload Completed Form into PowerAdvocate Portal.
 If you have not registered in [PowerAdvocate](#), register using the [online registration guide](#).
 Portal and Guide can also be accessed by visiting the Supplier Diversity page on our website, <https://lge-ku.com>.



Business Classification Definitions

Small Disadvantaged Business-A small business must be at least 51% owned and controlled by a socially and economically disadvantaged individual or individuals. African Americans, Hispanic Americans, Asian Pacific Americans, Subcontinent Asian Americans, and Native Americans are presumed to qualify. Other individuals can qualify if they show a preponderance of evidence that they are disadvantaged. All individuals must have a net worth of less than \$750,000 excluding the equity of the business and primary residence. Successful applicants must also meet applicable size standards for small businesses in their industry. **Self Certification**

Small Business Concern- A Business concern eligible for assistance from SBA as a small business is one that is organized for profit, with a place of business located in the United States. It must operate primarily within the United States or make a significant contribution to the U.S. economy through payment of taxes or use of American products, materials or labor. Together with its affiliates, it must meet the numerical size standards as defined in the Small Business Size Regulations, 13 CFR 121.

Veteran Owned Small Business-A small business concern where: (A) Not less than 51% of which is owned by one or more veterans (as defined at 38 U.S.C 101 (2)) or, in the case of any publicly owned business, not less than 51% of the stock of which is owned by one or more veterans; and (B) The management and daily business operations of which are controlled by one or more veterans. According to 38 U.S.C. 101(2), "veteran" is defined as "a person who served in the active military, naval, or air service, and who was discharged or released therefrom under conditions other than dishonorable."

Service Disabled Veteran Owned Small Business-A small business concern where: (a) Not less than 51% of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51% of the stock of which is owned by one or more service-disabled veterans; and (b) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran. A service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16). From U.S.C. 101(16), the phrase service connected (in terms of service disabled) means: "with respect to disability or death, that such disability was incurred or aggravated, or that the death resulted from a disability incurred or aggravated, in line of duty in the active military, naval, or air service. **Government issued documentation required.**

Woman Owned -A business that meets the following criteria: (a) is at least 51% owned by one or more women; or, in the case of any publicly owned business, at least 51% of the stock of which is owned by one or more women; and (b) Whose management and daily business operations are controlled by one or more women.

Minority Owned -A business for-profit enterprise, regardless of size, physically located in the United States or its trusted territories, which is at least 51% owned, operated and controlled by minority group members. "Minority group members" are United States citizens who are Asian, Black, Hispanic and Native American. **Government issued documentation required.**

Indian Tribe/Alaskan Native Corporation-refers to any business owner who is a member of any Indian tribe, band, group pueblo, or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs (BIA) in accordance with 25 U.S.C. 1452 © and any "Native" as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601). The same person must have 51% or more ownership in the firm, as well as daily control of the firm's management decisions. **Government issued documentation required.**

Historically Black College/University or Minority Institution-Institutions determined by the Secretary of Education to meet the requirements of 34 CFR Section 608.2. The term also means any nonprofit research institution that was an integral part of such a college or university before November 14, 1986. Minority institutions meeting the requirements of Section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 113d-5(3)). The term also includes Hispanic-servicing institutions as defined in Section 316(b)(1) of such Act (20 U.S.C. 1059C(b)(1)).

HUBZone (SBA-Certified and verified in CCR)-(requires certificate)-Historically Underutilized Business Zone. To qualify as a HUBZone small business concern, the firm must be: (a) Small; (b) Located in a "historically underutilized business zone" (HUBZone); (c) Owned and controlled by one or more U.S. Citizens; and, (d) One that at least 35% of its employees reside in a HUBZone. **Government issued documentation required.**